

PATENT

In re Application of

Serial No.: 09/522,709

Group Art Unit:

Filed: March 10, 2000

Examiner:

For: **METHOD FOR MANAGING RISK IN MARKETS RELATED TO COMMODITIES
DELIVERED OVER A NETWORK**

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated May 12, 2000, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$710.00
3. Late Filing Fee Surcharge of \$130.00
4. Petition for 3 Month Extension of Time and Fee of \$890.00
5. Additional Claims Fee of \$400.00
6. Information Disclosure Statement

Please charge Deposit Account No. 500417 in the amount of \$2130.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

09/522,709

shortage in fees due in connection with the filing of this paper, including extension of time fees,
to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Stephen C. Carlson
Registration No. 39,929

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 SCC:dtb
Date: October 12, 2000
Facsimile: (202) 756-8087

124
Docket No.:43920-032

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Fernando L. AVARADO :
Serial No.:09/522,709 : Group Art Unit: unknown
Filed: March 10, 2000 : Examiner: unknown
For: METHOD FOR MANAGING RISK IN MARKETS RELATED TO COMMODITIES
DELIVERED OVER A NETWORK

POWER TO INSPECT AND MAKE COPIES

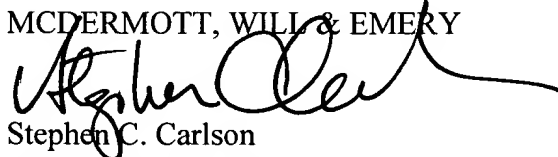
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

It is hereby authorized that Virginia Click be permitted to inspect and make copies of the
above-referenced application.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



Stephen C. Carlson
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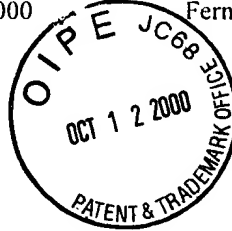
FORMALITIES LETTER



OC00000005112138

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/522,709	03/10/2000	Fernando L. Alvarado	43920-032

MCDERMOTT WILL & EMERY
600 13th Street N W
Washington, DC 20005-3096

Date Mailed: 05/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$390.
■ \$390 for 5 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1210.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10/13/2000 BHABTEW 00000053 500417 09522709

01 FC:101	710.00 CH
02 FC:105	130.00 CH
03 FC:102	400.00 CH